

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

**In re: Tony Raposo,
Respondent**

Complaint No. 2019-12

ORDER

This matter having been heard before the Rhode Island Ethics Commission on September 24, 2019, pursuant to 520-RICR-00-00-3.16 Informal Disposition (1011), and the Commission having considered the Complaint herein, the arguments of the parties, and the proposed Information Resolution and Settlement, which is incorporated by reference herein, it is hereby

ORDERED, ADJUDGED AND DECREED

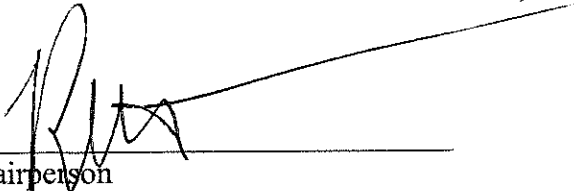
THAT, the Commission approves the Informal Resolution and Settlement as submitted;

THAT, the Commission incorporates by reference herein the Findings of Fact and Admissions, and the Conclusions of Law, set forth in the Informal Resolution and Settlement;

THAT, on his 2017 and 2018 Financial Disclosure Statements filed with the Commission, the Respondent failed to make the required disclosures in violation of Rhode Island General Laws § 36-14-16 and 520-RICR-00-00-4.11 Leadership Positions with Not-For-Profit Organizations (36-14-17008).

THAT, the Respondent is ordered to pay a civil penalty in the amount of One Hundred Dollars (\$100.00).

ENTERED as an Order of this Commission,



Chairperson

**STATE OF RHODE ISLAND
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INFORMAL RESOLUTION AND SETTLEMENT

The Respondent, Tony Raposo, and the Rhode Island Ethics Commission (“Commission”) hereby agree to a resolution of the above-referenced matter as follows:

I. FINDINGS OF FACT & ADMISSIONS

1. The Respondent was appointed to the Rhode Island Contractors’ Registration & Licensing Board on March 29, 2018, and continues to serve in said capacity.

2. In his private capacity, the Respondent serves as: (1) Vice Chairman of Associated Builders and Contractors of Rhode Island (“ABC of RI”); (2) Director of the National Utility Contractors Association (“NUCA”); and (3) Director of the National Utility Contractors Association of Rhode Island (“NUCARI”), non-profit entities.

3. The Respondent failed to disclose his positions as Vice Chairman of ABC of RI, Director of NUCA, and Director of NUCARI on his 2017 Financial Disclosure Statement (“2017 Statement”) filed with the Commission, pursuant to R.I. Gen. Laws § 36-14-16.

4. On his 2018 Financial Disclosure Statement (“2018 Statement”) filed with the Commission, the Respondent failed to disclose either of his Director positions with the NUCA or the NUCARI.

4. In the interest of full compliance, the Respondent presented to the Commission’s office on August 27, 2019, for the following: (1) to amend his 2017 and 2018 Statements to disclose his positions as Vice Chairman of ABC of RI, Director of NUCA, and Director of

NUCARI; and (2) to review each question on his 2017 and 2018 Statements with the Prosecution and identify all required disclosures.

II. CONCLUSIONS OF LAW

1. As a member of the Rhode Island Contractors' Registration & Licensing Board, a state appointed position, the Respondent was subject to the financial disclosure mandate of R.I. Gen. Laws § 36-14-16.

2. On his 2017 Statement filed with the Commission pursuant to § 36-14-16, the Respondent failed to disclose his positions as Vice Chairman of ABC of RI, Director of NUCA, and Director of NUCARI, as required by § 36-14-17(b)(6) and 520-RICR-00-00-4.11 Leadership Positions with Not-For-Profit Organizations (36-14-17008).

3. On his 2018 Financial Disclosure Statement ("2018 Statement") filed with the Commission, the Respondent failed to disclose either of his Director positions with the NUCA or the NUCARI, as required by § 36-14-17(b)(6) and 520-RICR-00-00-4.11 Leadership Positions with Not-For-Profit Organizations (36-14-17008).

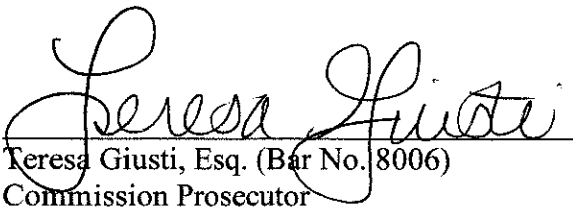
III. SETTLEMENT

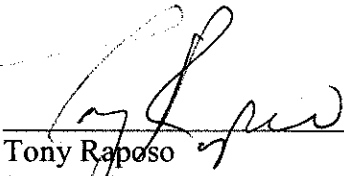
Pursuant to the above Findings of Fact and Conclusions of Law, the Respondent and the Commission Prosecutor agree, subject to the approval of the Rhode Island Ethics Commission pursuant to R.I. Gen. Laws § 36-14-13(d) and 520-RICR-00-00-3.16 Informal Disposition (1011), to the following:

1. The Commission shall enter an Order and Judgment that the Respondent failed to disclose his positions as Vice Chairman of ABC of RI, Director of NUCA, and Director of NUCARI on his 2017 Statement and failed to disclose either of his Director positions with the NUCA or the NUCARI on his 2018 Statement, as required by § 36-14-17(b)(6) and 520-RICR-00-00-4.11 Leadership Positions with Not-For-Profit Organizations (36-14-17008).

2. The Respondent further agrees that, pursuant to the above Findings of Fact and Conclusions of Law, the Prosecution will recommend the imposition of a \$100 civil penalty.

3. The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2019-12.


Teresa Giusti, Esq. (Bar No. 8006)
Commission Prosecutor
Dated: 9/9/19


Tony Raposo
Respondent
Dated: 9/9/19